# Smoldering Myeloma: when to observe and when to treat?

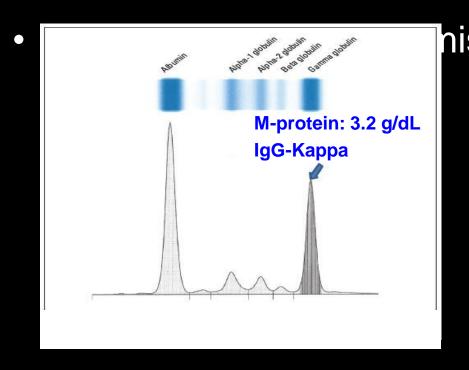
María-Victoria Mateos
University Hospital of Salamanca- IBSAL
Salamanca. Spain

### **Clinical Case**

- 52 years-old man
- Asymptomatic.
- Routine analysis
- Elevated total serum proteins (10.2 g/dL) with normal albumin
- Hemogram and biochemistry normal

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### MGUS/SMM/MM: diagnostic criteria

	Monoclonal Gammopathy of uncertain significanc (MGUS)	Smouldering Multiple e Myeloma (SMM)	Symptomatic Multiple Myeloma
Monoclonal component	< 3 g/dL serum	≥3 g/dL serum	Present ( <i>serum/urine)</i>
	AND	AND/OR	AND
Bone Marrow Plasma Cells (%)	< 10%	10-60%	> 10% <sup>b</sup>
	AND	AND	AND
End-organ damage	Absent	Absent	Present

Hypercalcaemia: serum calcium >0.25 mmol/L (>1 mg/dL) higher than the upper limit of normal or >2.75 mmol/L (>11 mg/dL)

Renal insufficiency: creatinine clearance <40 mL per min† or serum creatinine >177 µmol/L (>2 mg/dL)

Anaemia: haemoglobin value of >20 g/L below the lower limit of normal, or a haemoglobin value <100 g/L

Bone lesions: one or more osteolytic lesions on skeletal radiography, CT, or PET-CT‡

### Smouldering MM: diagnostic criteria

u	Monoclonal Gammopathy of ncertain significance (MGUS)	Smouldering Multiple Myeloma (SMM)	Symptomatic Multiple Myeloma
Monoclonal	< 3 g/dL serum	≥3 g/dL serum	Present (serum/urine)
component	AND	AND/OR	AND
Bone Marrow Plasma Cells (%)	< 10%	10-60%	> 10% <sup>b</sup>
	AND	AND	AND
End-organ damage	Absent	Absent	Present

#### Concomitant diseases that can mimic MM:

- -Increase of serum Cr due to diabetes or hypertension
- -Anemia due to idon-vitamin deficiency, chronic disease,...

- -Diffuse osteoporosis
- -Hyperparatiroidism
- -Single asymptomatic bone lesion

Rajkumar SV. Lancet Oncology 2014

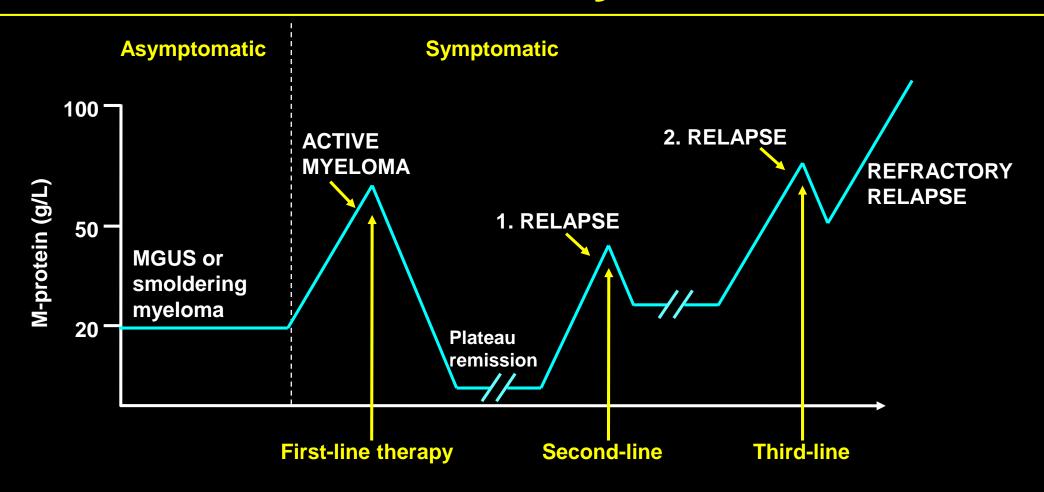
## Recommended work up at 3 months in patients with Smouldering MM

- Medical History and physical examination
- Hemogram
- Creatinine and calcium values
- Protein studies
  - Total serum protein and serum electrophoresis (serum M-protein)
  - 24-h urine protein electrophoresis (urine M-protein)
  - Serum and urine immunofixation
  - Serum free light chain mesurement (FLC ratio)

If results show stabilization of the disease, diagnosis of SMM is confirmed

### What is the next step?

### **Natural History of MM**



Myeloma is always preceded by MGUS or Smoldering Myeloma

### Transition from MGUS/SMM to MM

Expansion of altered clones already present in MGUS patients

López Corral et al. Leukemia 2012

 Branching model→ Key molecular events leading to disease evolution→ distinct patterns of driver mutations

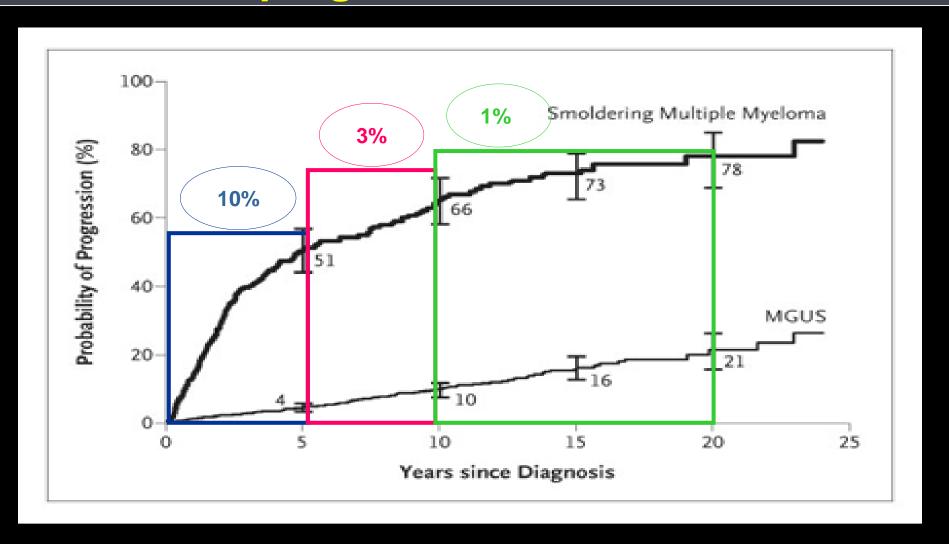
Walker et al. Nature Reviews Cancer 2012

Differences in inmune surveillance

Dosani et al. Blood Cancer J. 2015

Are identical all patients with MGUS or SMM?

## MGUS/Smoldering Multiple Myeloma: Risk of progression to active disease



Are there any risk factors predicting progression to active disease?

### Smouldering Multiple Myeloma: prognostic factors

- Serum level of Monoclonal Component (>3g/dl)
- Plasma Cells Bone Marrow infiltration (PCs>10%)
- Abnormal sFLC ratio
- **► Aberrant Plasma Cells by immunophenotype** (≥ 95%)
- > Reduction in uninvolved immunoglobulins
- **Evolving MM**
- Cytogenetic abnormalities

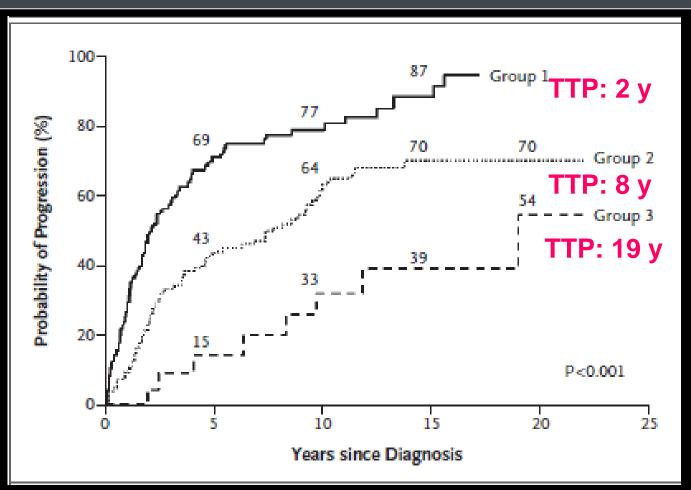
\* After IMWG consensus criteria

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### Mayo risk model: PCs BM infiltration and Serum M-component level



50% risk at 2 yrs

Group 1: *PCBM* ≥ 10% + *MC* ≥ 3g/dl Group 2: *PCBM* ≥ 10% + *MC* < 3g/dl

Group 3: *PCBM* < 10% + MC ≥ 3g/dl

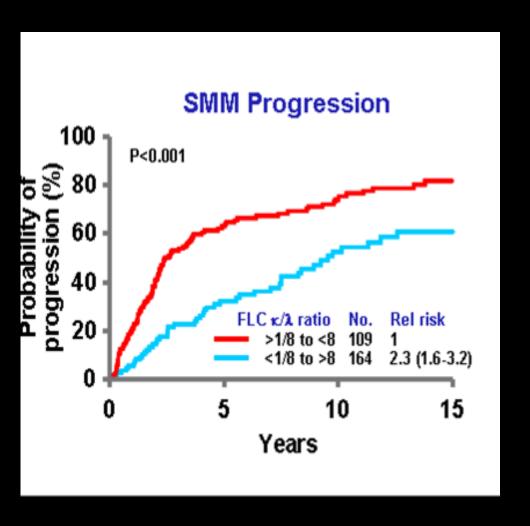
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## Smouldering Multiple Myeloma: serum immunoglobulin free-light chain (FLC) ratio (n:273)

Serum FLC ratio <0.125 or > 8



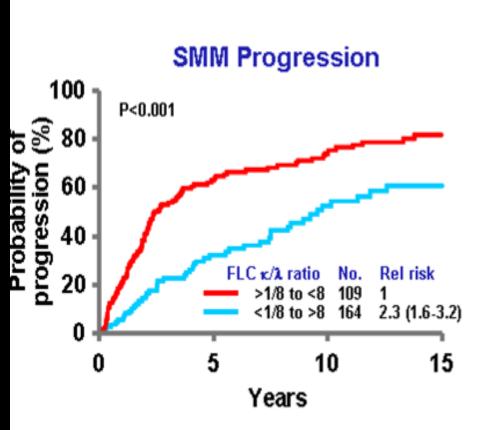
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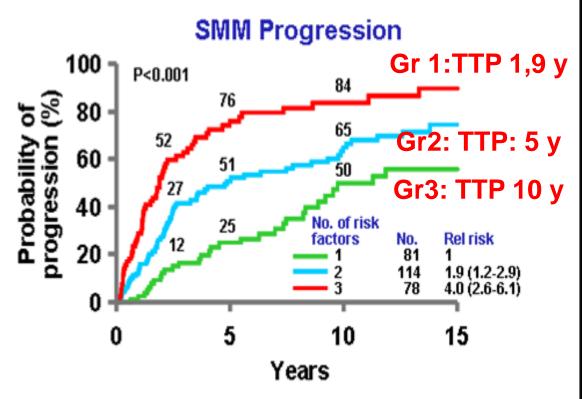
Serum FLC ratio <0.125 or > 8

PCsBM Infiltration ≥ 10%

Serum M protein ≥ 3 g/dL

Serum FLC ratio <1/8 or >8





Dispenzieri A. Blood 2008; 111:785-9

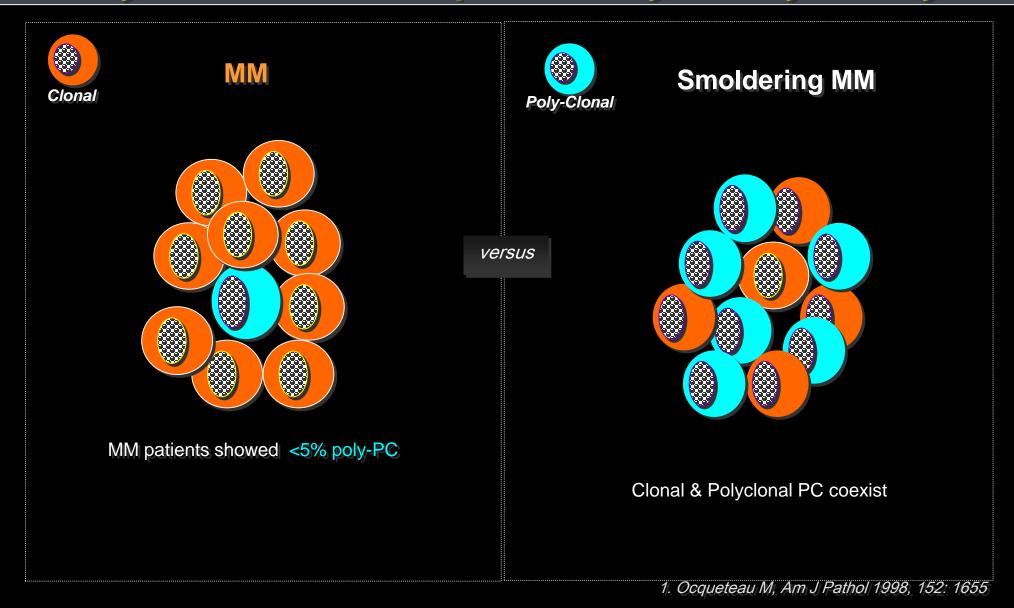
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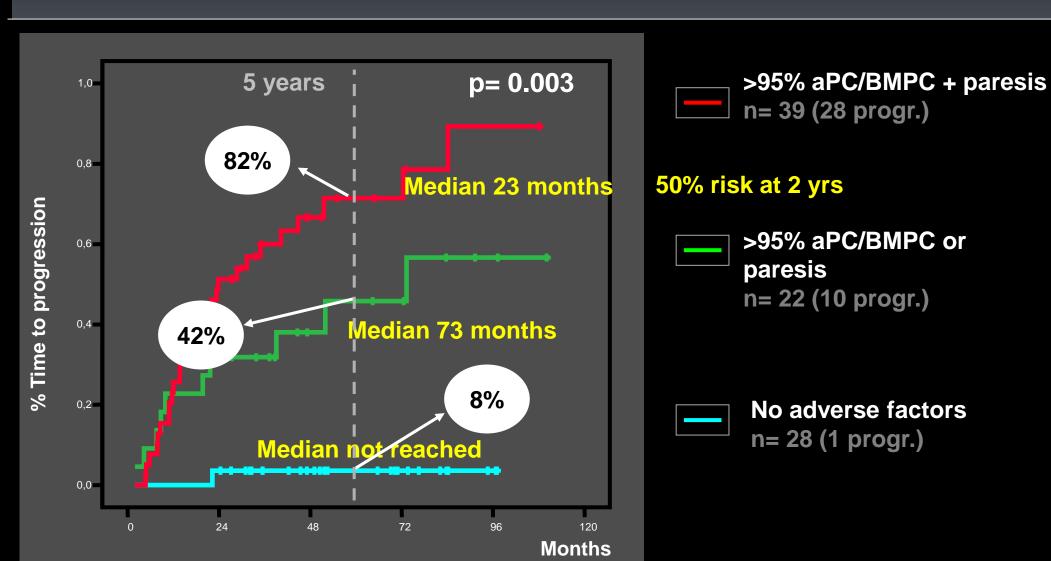
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### **Spanish Model:**

### Analysis of the PC compartment by flow cytometry



## Spanish model: Aberrant PCs by immunophenotype plus immunoparesis



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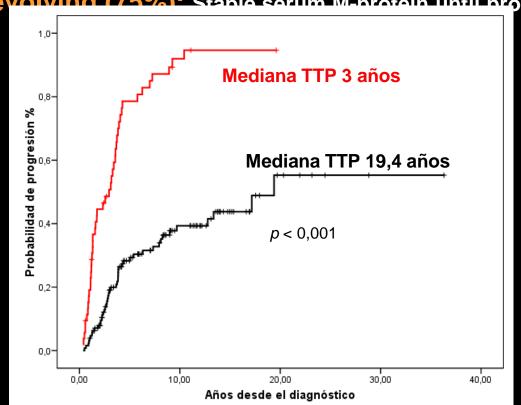
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## Evolution pattern of the M-spike: evolving vs nonevolving (n:207)

**Evolving SMM (52 (25%)):** at least 10% increase within the first 6 months from diagnosis when MP was ≥30 g/L or progressive increase in MP in each of the annual consecutive measurements during

a period of 3 years in patients with an initial MP < 30 g/L

Non-evolving (75%): Stable serum M-protein until progression occurs



#### **Evolving SMM**

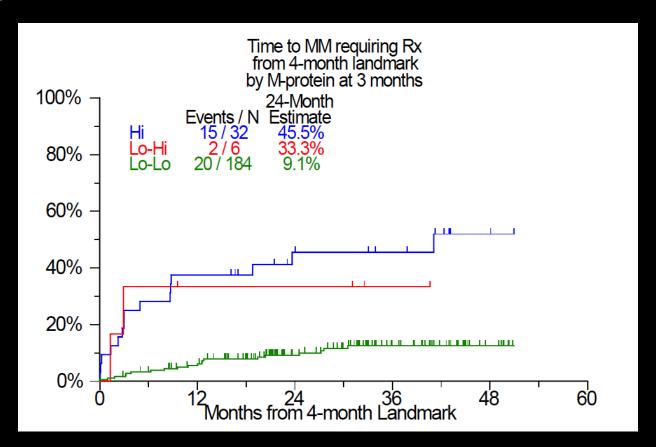
- Risk progression at 2 years: 45%
- Risk progression at 5 years: 78%
- IgA isotype: (41,2% frente a 23,8%, p=0,02)

### **Evolution pattern of the M-spike: SWOG experience** (n:222)

Hi (32pts): High M spike (≥3g/dL) at baseline

Lo-Hi (6 pts): Pts with an increase in M spike to ≥3g/dL in 3 months time

Lo-Lo (184 pts):Patients who retained a M spike (<3g/dL) throughout the 3-months period



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\* After IMWG consensus criteria

### Prognostic significance of whole MRI for patients with SMM

- Retrospective study: whole body MRI
  - 157 pts with SMM, 138 pts with MGUS, 249 pts MM

#### Results

	MGUS patients	SMM patients
Focal lesions	23.9%	34.4%
Diffuse infiltration	53%	45.9%
Adverse prognostic factors for PFS	Presence and no. of focal lesions, severe diffuse infiltration Multivariate analysis: number of focal lesions (p=0.0005)	Plasma cell percentage, moderate diffuse infiltration (but not focal lesions), beta2- microglobulin

## Del(17p), t(4;14), and +1q21 predict progression from smoldering to symptomatic MM (n=248)

del(17p13), t(4;14), +1q21 showed significant impact on TTP

	TTP	Р
All pts	4.9 years	
+1q21 versus no gain of 1q21	3.7 years 5.3 years	0.013
del(17p13) versus no del(17p13)	2.7 versus 4.9 years	0.019
t(4;14) versus no t(4;14)	2.9 versus 5.2 years	0.021
HD versus NHD	3.9 versus 5.7 years	0.036

- Multivariate analysis: t(4;14), +1q21, HD, reduction of uninvolved immunoglobulins and risk score defined by Kyle et al. as independent factors for adverse outcome
- Conclusion: specific chromosomal aberrations drive transition from asymptomatic to symptomatic disease

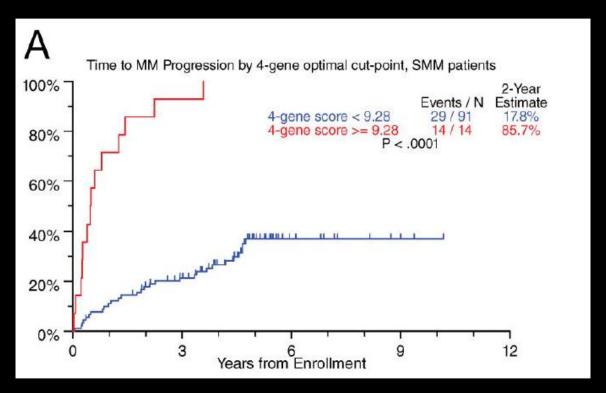
## Primary molecular cytogenetic abnormalities and risk of progression in SMM (n=351)

del(17p13), t(4;14), trisomies showed significant impact on TTP

Cytogenetic abnormalities	TTP
High-risk subgroup	
t(4;14, del(17p)	24 months
Intermediate-risk subgroup	
Trisomy (ies) withouth IgH translocation	34 months
Standard/low-risk subgroup	
T(11;14), other, or no abnormalities	55 months/NR

### Gene Expression Profiling of purified CD138+ tumor cells in SMM an (n: 105)

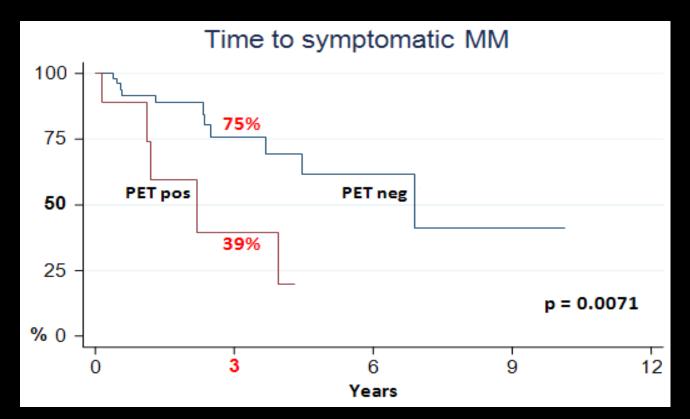
The validated 70-gene model (GEP-70) identified SMM patients with GEP70>-0.26 with a 51% of progression risk at 2 yrs.



A gene signature derived from 4 genes at an optimal binary cut-point of 9.28, identified 14 patients (13%) with a 2-year therapy risk of 85.7%

## PET-CT in SMM patients as predictor of progression to symptomatic MM (n: 73)

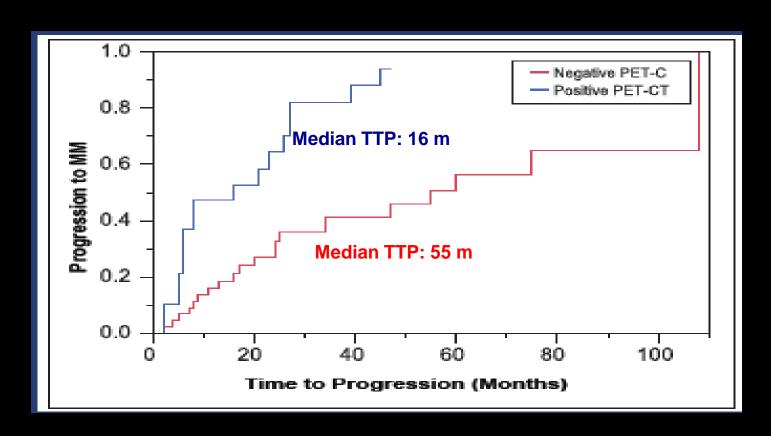
12% of patients had PET positive: 56% of them had 1 FL with a median PET SUV of 4.45 and no osteolysis was observed.



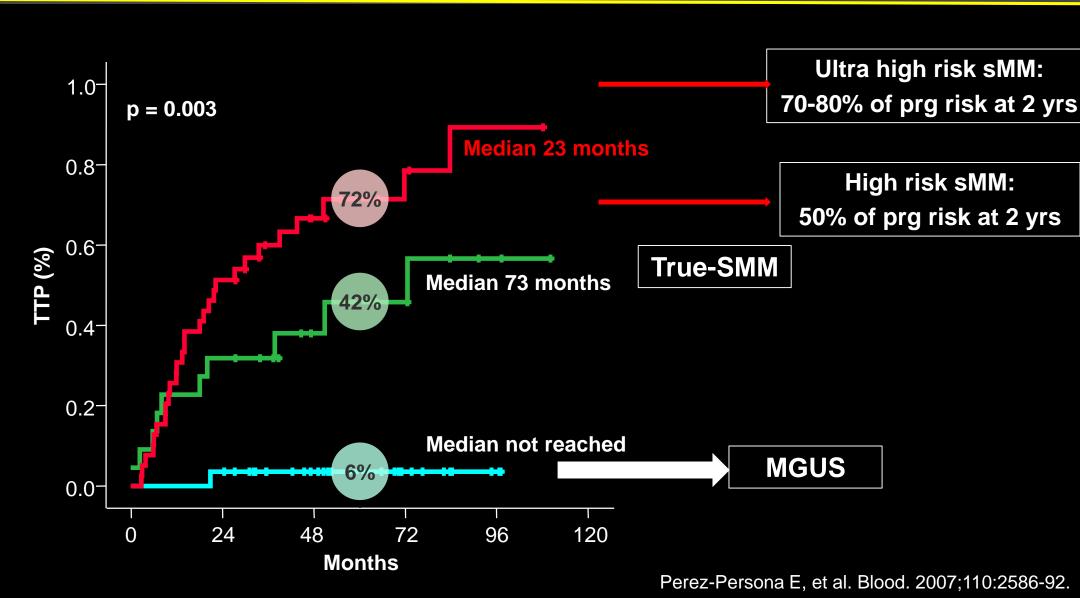
Relative risk of skeletal progression was 4.0 (95% CI 1.3-12, P= 0.013)

### **Smouldering Multiple Myeloma: PET/CT (n:202)**

### Positive PET/CT: 41% of the patients

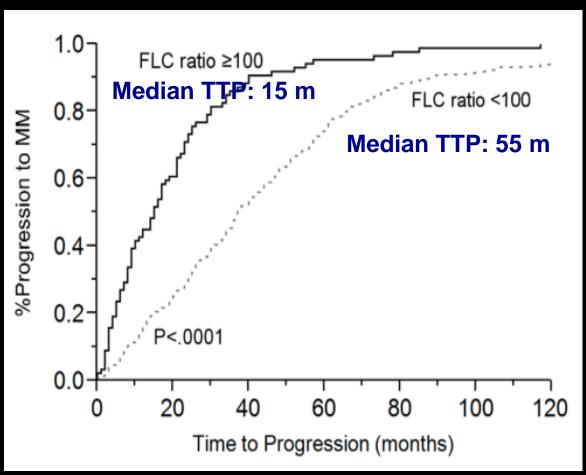


### Smoldering MM: Heterogeneous disease



## Ultra-high risk SMM: Serum involved/uninvolved free-light chain (FLC) Ratio

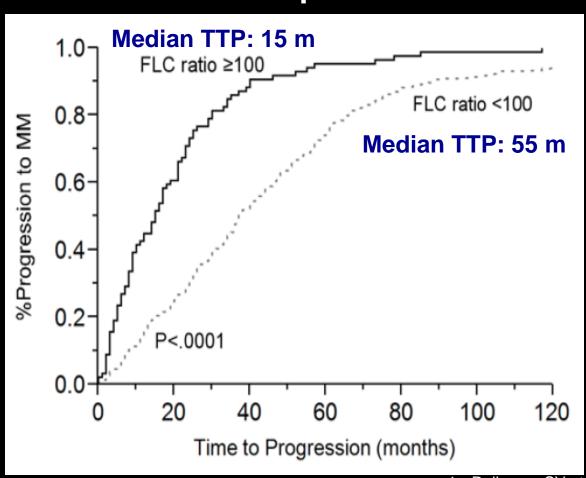
### N= 586 patients



- 1. Rajkumar SV et al. N Engl J Med 2011; 365:474-475
  - 2. Kastritis E, et al. Leukemia. 2013 Apr;27(4):947-53
- 3. Waxman AJ, et al. J Clin Oncol 32:5s, 2014 (suppl; abstr 8607)

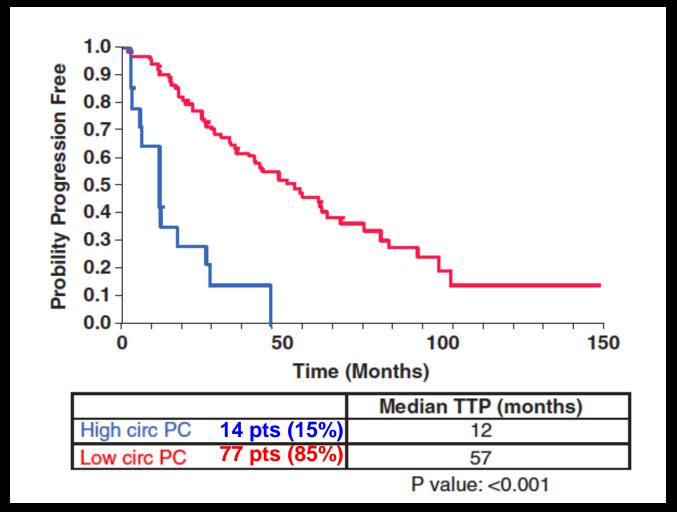
## Multiple Myeloma: Serum involved/uninvolved free-light chain (FLC) Ratio

### N= 586 patients



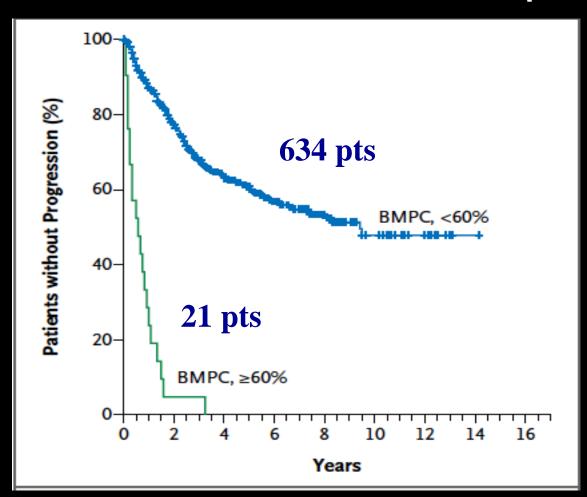
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# Ultra-high risk SMM: peripheral blood plasma cell circulating (>5x10<sup>6</sup>/L and/or 5% per 100 cytoplasmic Ig-positive PB mononuclear cells)



### Ultra-high risk SMM: Plasma Cells in the Bone Marrow at baseline

#### N= 655 patients

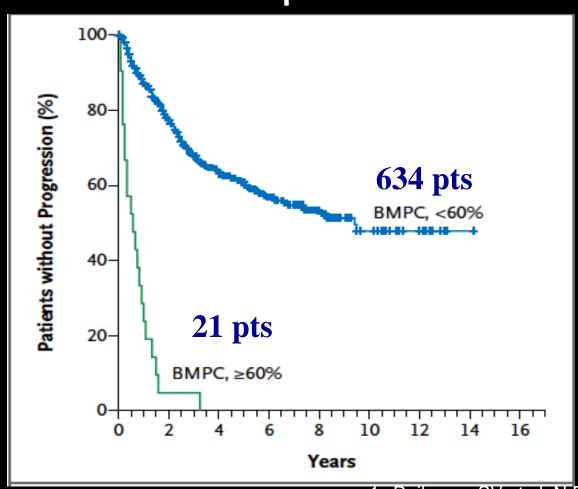


"In these patients (3.2%), median TTP was 7m and 95% of them progressed to symptomatic MM within 2y" <sup>1</sup>

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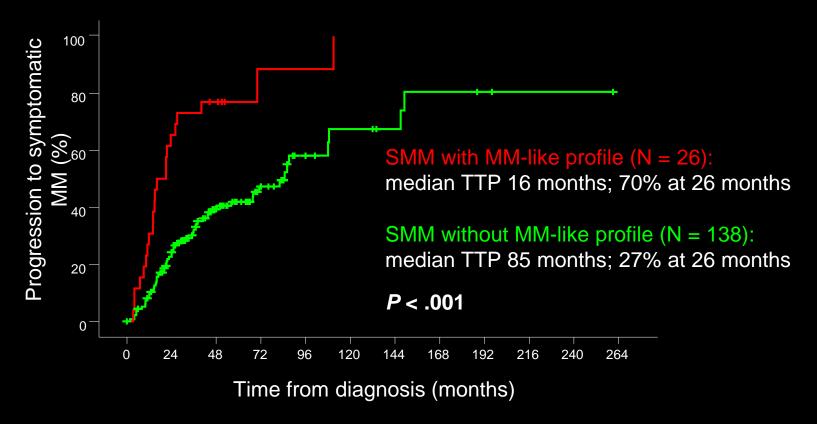
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## Ultra-high risk SMM: Automatized flow cytometry immunphenotyping (n:164 pts)

164 pts with SMM have been compared with a phenotypic dataset that included 497 MGUS and 698 symptomatic MM patients.

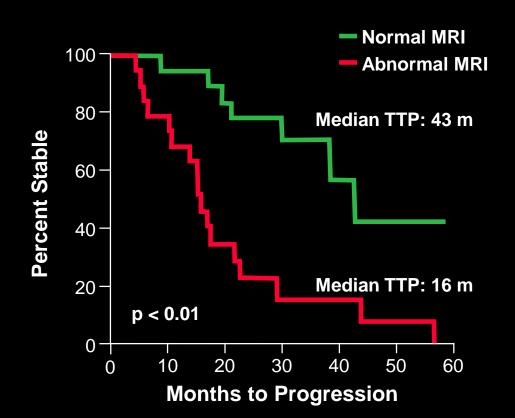
26 pts (16%) had MM-like profile.



43 pts with asymptomatic MM

**Spinal MRI:** 50% of pts: marrow involv

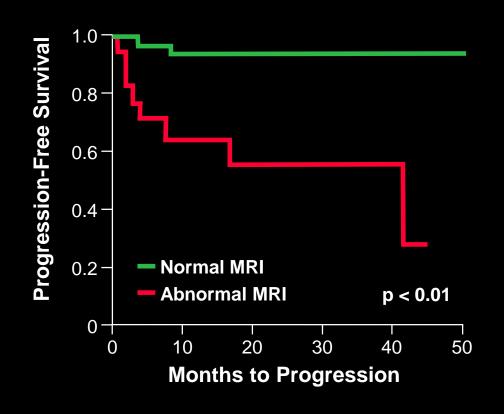
Patterns: Diffuse, variegated and focal



55 pts with stage I MM

**Spinal MRI:** 31% of pts: marrow involv

Patterns: Diffuse, variegated and focal

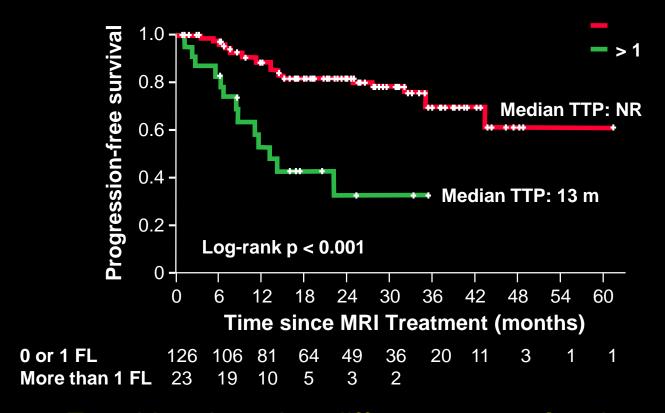


Moulopoulos et al. J Clin Oncol 2005; 13:251-6

Mariette et al. Br J Hematol 1998; 104:723-9

#### **Smouldering Multiple Myeloma: Whole MRI**

149 patients with asymptomatic MM Whole MRI: 28% of pts: Focal lessions

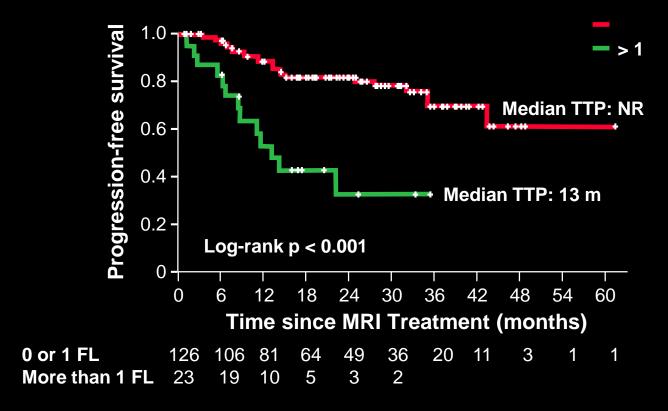


#### > 1 Focal lession plus diffuse pattern → adverse prognosis

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## Panel: Revised International Myeloma Working Group diagnostic criteria for multiple myeloma and smouldering multiple myeloma

#### Definition of multiple myeloma

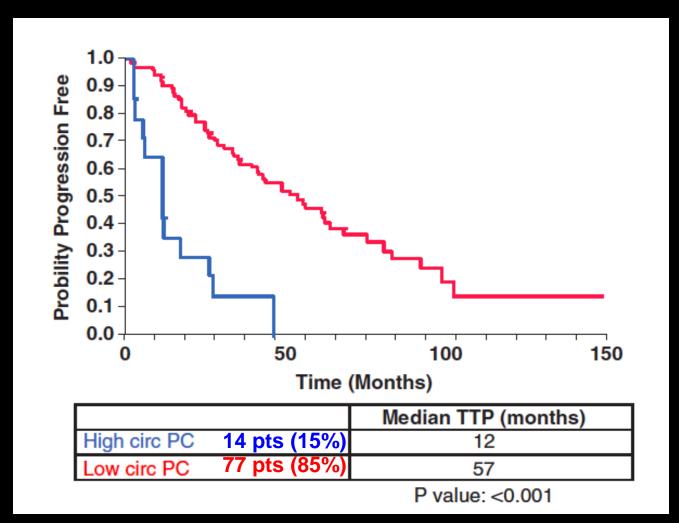
Clonal bone marrow plasma cells ≥10% or biopsy-proven bony or extramedullary plasmacytoma\* and any one or more of the following myeloma defining events:

- Myeloma defining events:
  - Evidence of end organ damage that can be attributed to the underlying plasma cell proliferative disorder, specifically:
    - Hypercalcaemia: serum calcium >0.25 mmol/L (>1 mg/dL) higher than the upper limit of normal or >2.75 mmol/L (>11 mg/dL)
    - Renal insufficiency: creatinine clearance <40 mL per min† or serum creatinine</li>
       >177 µmol/L (>2 mg/dL)
    - Anaemia: haemoglobin value of >20 g/L below the lower limit of normal, or a haemoglobin value <100 g/L</li>
    - Bone lesions: one or more osteolytic lesions on skeletal radiography, CT, or PET-CT‡
- ♣ Any one or more of the following biomarkers of malignancy:
  - Clonal bone marrow plasma cell percentage\* ≥60%
  - Involved:uninvolved serum free light chain ratio§ ≥100
  - >1 focal lesions on MRI studies¶

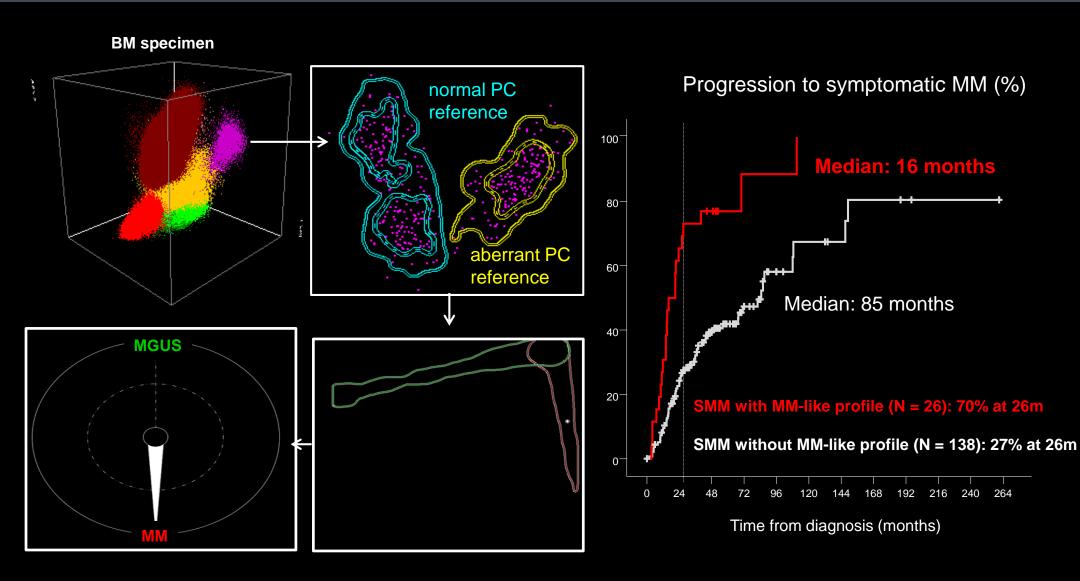
# Recommended work up at baseline in patients with Smouldering MM

- Medical History and physical examination
- Hemogram
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- Protein studies
  - Total serum protein and serum electrophoresis (serum M-protein)
  - 24-h urine protein electrophoresis (urine M-protein)
  - Serum and urine immunofixation
  - Serum free light chain mesurement (FLC ratio)
- Bone Marrow aspirate+/- biopsy
- Skeletal survey/Low-dose CT/PET-CT
- MRI of the spine and pelvis/ Whole-body MRI

# Ultra-high risk SMM: peripheral blood plasma cell circulating (>5x106/L and/or 5% per 100 cytoplasmic Ig-positive PB mononuclear cells)



# Ultra high-risk SMM: automated MFC immunophenotyping of BMPCs



#### Identification of high risk SMM→ 50% of progression risk at 2y

- *Mayo Clinic:* ≥10% clonal plasma cell bone marrow infiltration, and ≥30g/L of serum M-protein, and serum-free light ratio >0.125 or <8
- Spanish: ≥95% of aberrrant plasma cells measured by flow plus >25% decrease in one or both uninvolved immunoglogulins
- Heidelberg: Tumor mass defined by Mayo risk model plus t(4;14)/del17p/gains of 1q/
- Japanese: Beta 2-microglobulin ≥ 2.5 mg/L plus M-protein increment rate > 1 mg/dL/day
- SWOG: serum M-protein ≥2 g/dL plus involved free light chain >25 and GEP >-0.26 (71% of risk progression at 2 yrs)
- PENN: ≥ 40% clonal PCBM infiltration plus sFLC ratio ≥ 50 plus Albumin 

  3.5 mg/dL (81% of risk at 2 yrs)
- Czech & Heidelberg: immunoparesis plus serum M-protein ≥ 2.3 g/dL plus involved/uninvolved sFLC > 30 (81% of risk at 2 yrs)
- Barcelona: evolving pattern plus serum M-protein ≥ 3 g/dL plus immunoparesis (80% of risk at 2 yrs)

Are all these risk models similar?

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Each model appears to identify patients at high risk, with some but not complete overlap

- Are all these risk models similar?
- Are concordant all risk models?

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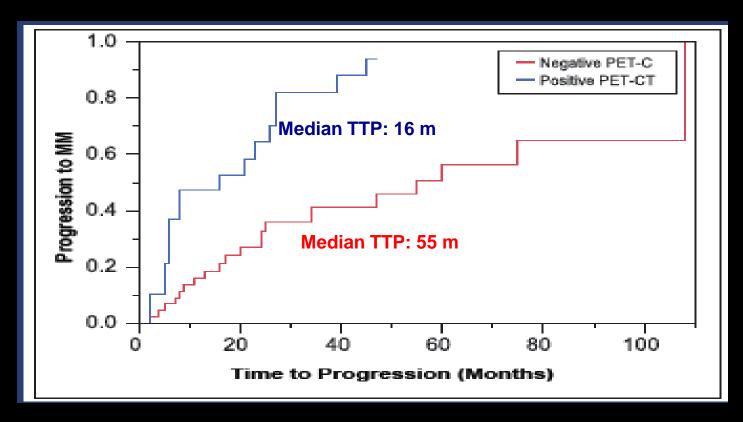
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#### Texto de rajkumar

- Management should be risk-adapted
- Low risk SMM should be followed as MGUS-like pts: annually
- Intermediate risk SMM should be followed as true SMM pts: every 6 months
- Ultra high-risk should be considered MM and be treated
- High-risk SMM can benefit from early treatment

### **Smouldering Multiple Myeloma: PET/CT (n:202)**

#### Positive PET/CT: 41% of the patients



In patients with positive PET-CT, those with underlying osteolysis had increased incidence of progression (77% at 2 yrs)

#### Features for identifying high-risk SMM→ 50% of progression risk at 2y

#### • Tumor burden:

≥10% clonal plasma cell bone marrow infiltration, and ≥30g/L of serum M-protein, and serum-free light ratio >0.125 or <8

#### • Immunophenotyping characterization and immunoparesis

≥95% of aberrrant plasma cells measured by flow >25% decrease in one or both uninvolved immunoglogulins

#### Primary molecular cytogenetic abnormalities

t(4;14)/del17p/gains of 1q/trisomies/hyperdiploidy

GEP-70

>-0.26

#### PET/CT

positive with no osteolysis

#### Features for identifying ultra high-risk SMM→ 80-90% of progression risk at 2 y

- >1 focal lesion plus diffuse pattern in whole body MRI/ PET-CT positive with underlying osteolysis in TC
- ≥60% of clonal plasma cell in bone marrow biopsy
- Involved/uninvolved serum FLC ratio >100

#### Management should be risk-adapted

Why observation for asymptomatic patients?

Advanced cancer is usually incurable. In most malignancies (lung, colon, prostate, breast, ...) early detection and intervention is a prerequisite for cure.

Agents	ORR (%)	TTP	os	Reference
Early MP* vs Deferred MP	52 55	No benefit	No benefit	Hjorth M, et al. Eur J Haematol. 1993 Grignani G, et al. Br J Cancer. 1996 Riccardi A, et al. Br J Cancer. 2000
Thal+Zol vs Zol**	37 0	No benefit	No benefit	Witzig TE, et al. Leukemia 2013
Bisphosphonates***vs observation	0	No benefit	No benefit	Martin A, et al. Br J Haematol. 2002 D'arena et al. Leuk Lymphoma. 2011 Musto P, et al. Cancer. 2008

<sup>\*</sup>Abandon: No differences in survival and potential risk of secondary leukemias

<sup>\*\*</sup>Low efficacy&high rates of discontinuation due to PN

<sup>\*\*\*</sup>Skeletal related events lower in the bisphosphonate groups (39% vs 73% and 55% vs 78%)

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#### Low, intermediate and high risk patients were included

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## QuiRedex:

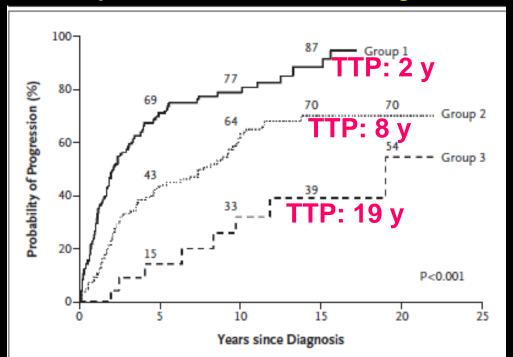
## early treatment in high-risk SMM



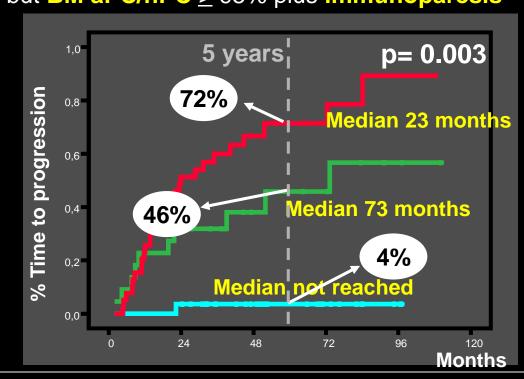
or



Group 1: PCBM ≥ 10% + MC ≥ 3g/dl or



PCs BM ≥ 10% or M-protein ≥ 30 g/L but BM aPC/nPC > 95% plus immunoparesis



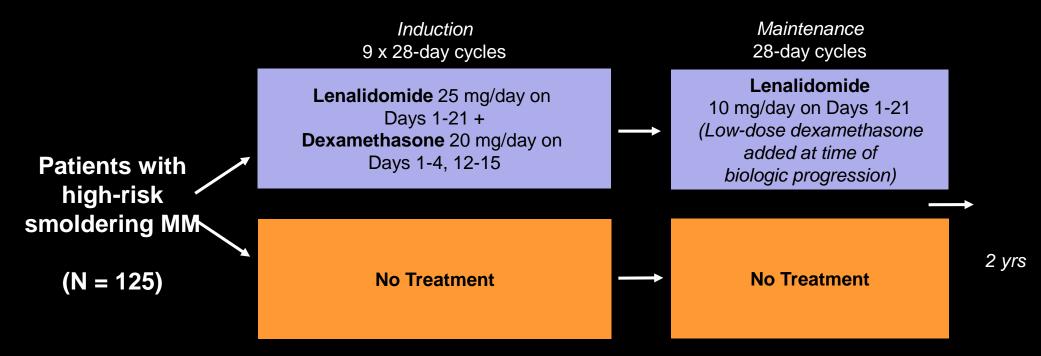
#### Time elapsed from diagnosis to inclusion not superior to 5 years

No CRAB (hypercalcemia, anemia, bone lesions, renal impairment) or symptoms

Mateos MV, et al. NEJM 2013; 369:438-47

## QuiRedex: Study Design

Multicenter, open-label, randomized phase III trial



In both arms, blood counts, biochemical analysis (including creatinine and calcium) and serum/urine levels of MC were performed monthly. Skeletal survey was performed during the screening phase and thereafter only if clinical symptoms emerged.

High-risk was defined according to the Mayo and/or Spanish models

### QuiRedex: Objectives

#### **Primary objective**

> Time to progression to symptomatic MM

#### **Secondary objectives**

- Response rates
- Duration of response
- Safety and tolerability
- Overall survival

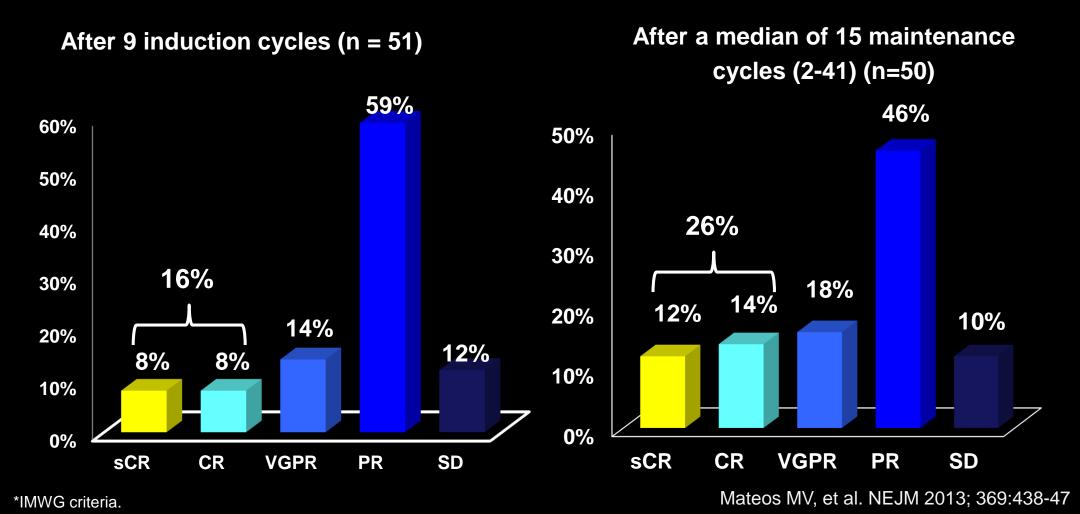
External CRO: monitoring data

Independent Data Monitoring Committee: Inclusion criteria and primary endpoint

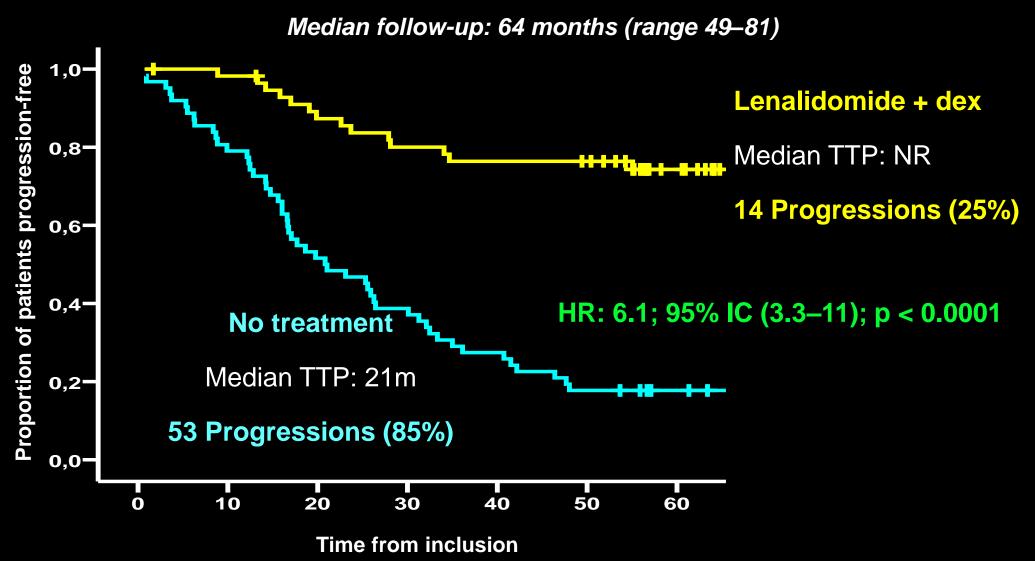
#### **Lenalidomide + Dex: response rate**

On ITT (n = 57) Median number of induction cycles: 9 (range 1–9)

ORR: 80%; sCR: 7%, CR: 7%; VGPR: 11%; PR: 65%; SD: 21%



# Len-dex vs no treatment: TTP to active disease (n = 119) ITT analysis: updated analysis



### Len-dex: biological progressions (n:57 pts)

At last f/u of maintenance therapy

24 biological progressions



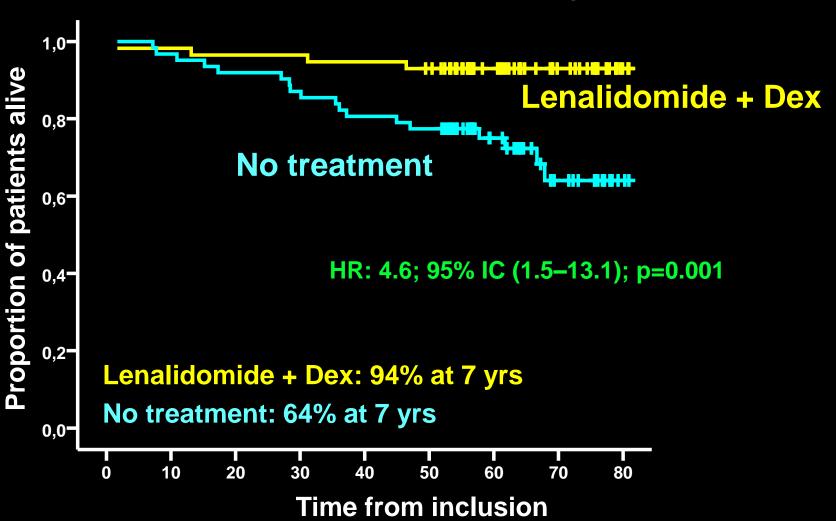
Dex was added according to the protocol in 18 pts\*

\*4 out of the 6 patients in which dex was not added -> progressed

- 10pts: Experienced stabilization of disease with dex
  - 7 remain stable after a median f/u of 50 m
  - 3 pts: Progressed to active disease

# Len-dex vs no treatment: OS from inclusion (n = 119)

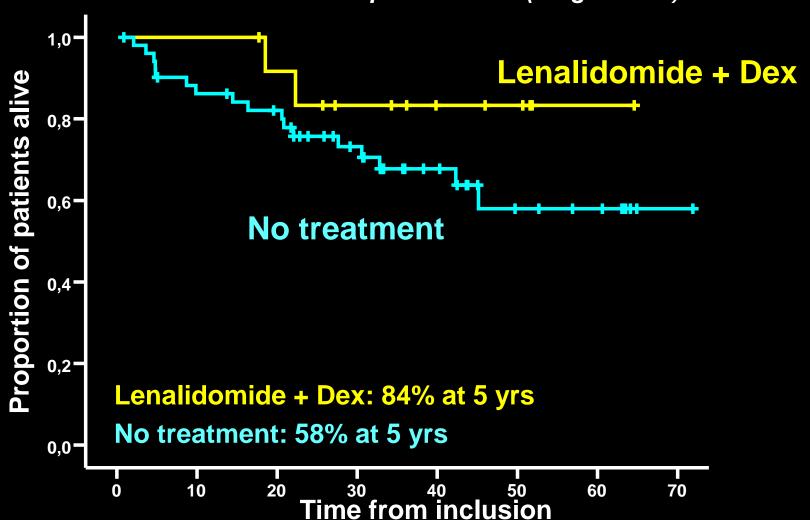
Median follow-up: 64 months (range 49–81)



Mateos MV, et al. ASH 2014: abstract 3465

# Len-dex vs no treatment: OS from progression to active disease (n = 119)

Median follow-up: 64 months (range 49–81)



### Len-dex: toxicity profile during induction (n:62)

	G1-2	G3
Anemia	15 (28%)	1(2%)
Neutropenia	11 (20%)	3 (5%)
Thrombocytopenia	7 (13%)	1 (2%)
Asthenia	11 (20%)	4 (7%)
Constipation	10 (18%)	-
Diarrhea	13 (24%)	1 (2%)
Rash	18 (33%)	2 (4%)
Infection*	25 (46%)	4 (6%)
DVT**	3 (5%)	

One infection was Grade 4

<sup>\*\*</sup>DVT prophylaxis with Aspirin (100mg) in 1 pt, oral anticoagulation in 1 pt with low INR levels and no px in the other one

### QuiRedex: toxicity profile during induction (n:62)

	G1	G2
Anemia	11 (20%)	4 (7%)
Neutropenia	3 (6%)	8 (14%)
Thrombopenia	6 (11%)	1 (2%)
Asthenia	6 (11%)	5 (9%)
Constipation	4 (7%)	6 (11%)
Diarrhea	9 (17%)	4 (7%)
Rash	12 (23%)	6 (11%)
Infection*	19 (35%)	6 (11%)
DVT**	1 (2%)	2 (4%)

### **QuiRedex: toxicity profile during induction (n:125)**

	Len-dex ar	Abstention arm (n:63)	
	<b>G1</b>	G2	G1-2
Anemia	11 (20%)	4 (7%)	2 (4%)
Neutropenia	3 (6%)	8 (14%)	
Thrombopenia	6 (11%)	1 (2%)	
Asthenia	6 (11%)	5 (9%)	6 (11%)
Constipation	4 (7%)	6 (11%)	1 (2%)
Diarrhea	9 (17%)	4 (7%)	2 (4%)
Rash	12 (23%)	6 (11%)	
Infection*	19 (35%)	6 (11%)	14 (26%)
DVT**	1 (2%)	2 (4%)	
SPM -Hematologic -Non hematolog	1 patient 3 patie	1 patient (MDS)	

<sup>\*2</sup> prostate cancers, 1 breast cancer

# Abstention arm: outcome after progression to symptomatic disease

#### **Abstention arm**

(n=46 pts)

Median age: 74 yrs

#### **Treatments received:**

58% bz-based comb (VMP)

**28% ASCT** 

13% len-based comb

8% MP or conventional QT

60% of pts alive at 3 yrs after progression

VISTA trial: 3 yr-OS: 69%

### High-risk Smoldering Multiple Myeloma

- Len-dex is effective as early treatment, with benefit in TTP to active disease and also in OS
- Numerous clinical trials with several drugs are currently ongoing in this group of patients

### **Current Studies in High-Risk Smoldering MM**

- Biomarker study of elotuzumab (phase II)<sup>[2]</sup>
- Siltuximab (anti IL6) or no treatment (phase II)<sup>[3]</sup>
- Biomarker study of BHQ880 (anti DKK1) (phase II)<sup>[4]</sup>:

  Data presented at ASH2012: no antitumor effect but anabolic activity
- Lenalidomide or observation (phase III)[1]
- Elotuzumab-Lenalidomide-dex
- Carfilzomib, lenalidomide, and dexamethasone (phase II)<sup>[5]</sup>:

- 1. ClinicalTrials.gov. NCT01169337.
- 2. ClinicalTrials.gov. NCT01441973.
- 3. ClinicalTrials.gov. NCT01484275.
- 4. ClinicalTrials.gov. NCT01302886.
- 5. ClinicalTrials.gov. NCT01572480.

# Phase II trial for high-risk SMM: E3A06 Lenalidomide alone vs no treatment

Study open for high-risk smoldering myeloma pts ≥18 years old Lenalidomide single agent (25 mg on days 1-21)

44 pts included. Median f/u: 17m

12 pts: (33%)≥PR

11 pts: G3-4 AEs: neutropenia/fatigue the most frequent

These results were encouraging to proceed to the Phase 3 trial in which lenalidomide will be compared with therapeutic abstention in high risk SMM

# Phase II trial for high-risk SMM: Carfilzomib/Revlimid/dex

Study open for high-risk smoldering myeloma pts >18 years old

#### **8 cycles CRd Combination Therapy**

Carfilzomib 20/36 mg/m<sup>2</sup>,

day 1, 2, 8, 9, 15, 16

Lenalidomide 25 mg/day, day 1-21

Dexamethasone 20/10 mg

day 1, 2, 8, 9, 15, 16, 22, 23

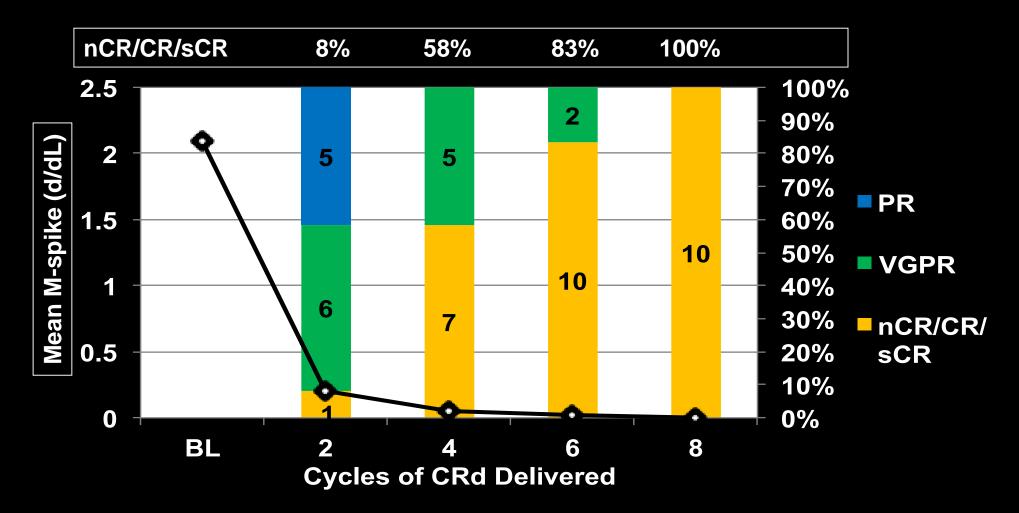
SD or

## 24 cycles Rev Extended Dosing

**Lenalidomide** 10 mg/day, day 1-21

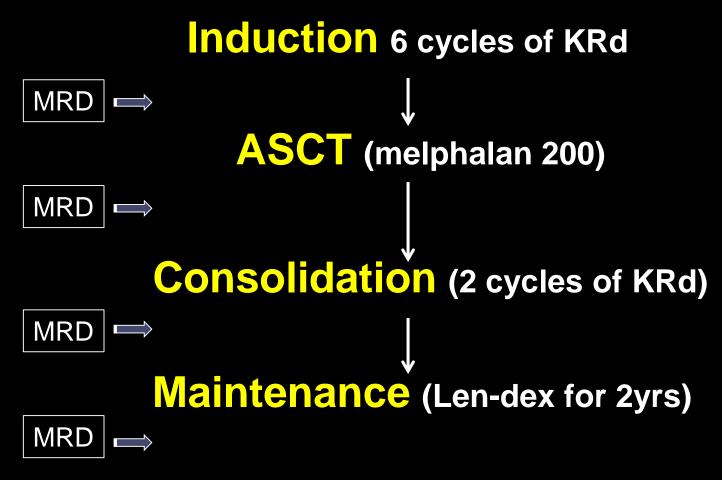
- Each cycle is 28 days
- Stem cell harvest after ≥4 cycles of CRd for patients <70-75 yrs
- C1D1/2 Carfilzomib dose is 20 mg/m<sup>2</sup>
- C1- 4 Dex dose is 20 mg, C5- 8 Dex dose is 10 mg

### Response rates in relation to cycles of KRd



11/12 (92%) are MRD negative by 8-color flow cytometry of the bone marrow

# Curative Estrategia Smoldering Alto Riesgo (CESAR trial) (n:90)



Primary objective: To evaluate the proportion of patients in sustained immunophenotypic response at 5

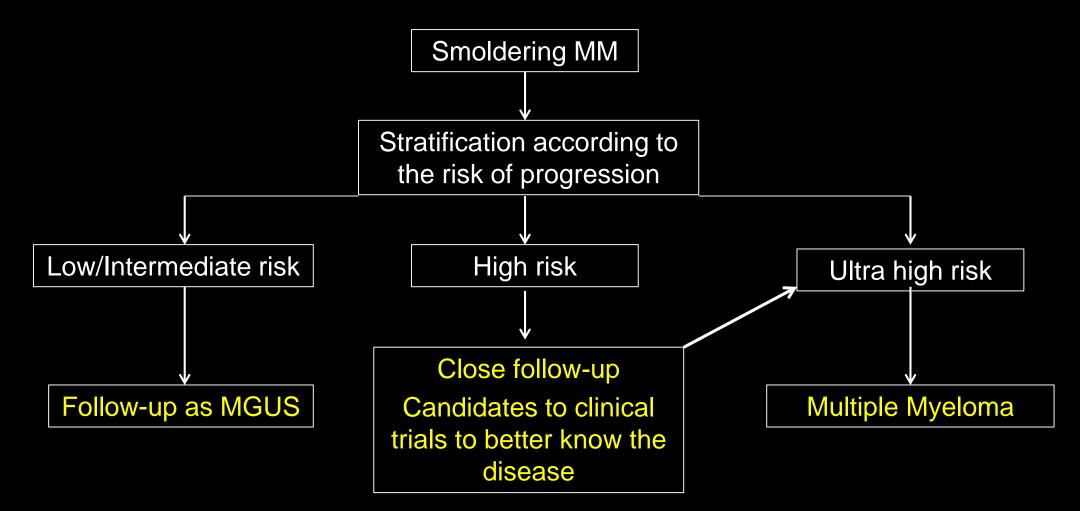
years

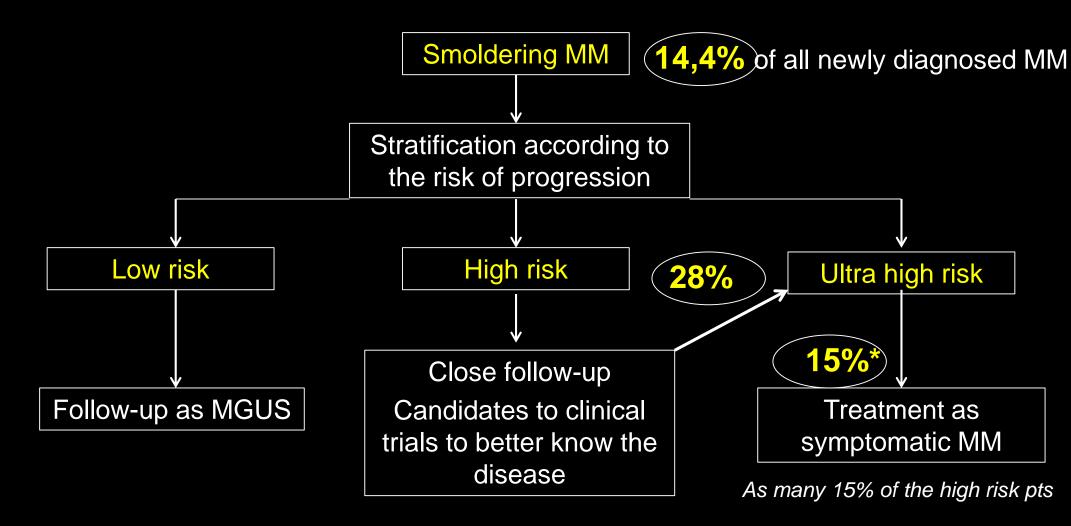
20 centers

- Len-dex is effective as early treatment, with benefit in TTP to active disease and also in OS
- Numerous clinical trials with several drugs are currently ongoing in this group of patients

These results support to change the current treatment paradigm for this patient population

Early treatment in selected asymptomatic MM patients





Using the World population as reference, the age standardized incidence of smoldering multiple myeloma is 0.44 per 100.000, and high-risk disease 0.14 per 100.000

## **Acknowledgments**



Investigators including cases in trials of the Spanish Myeloma Group, and most of all, the patients!